



Docket No.: C1037.70039US01  
(PATENT)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heather L. Davis  
Serial No.: 10/816,220  
Confirmation No.: 8632  
Filed: April 1, 2004  
For: IMMUNOSTIMULATORY NUCLEIC ACID OIL-IN-WATER  
FORMULATIONS AND RELATED METHODS OF USE  
Examiner: N. M. Minnifield  
Art Unit: 1645

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: January 22, 2007

Sharon R. Lloyd  
Sharon R. Lloyd

**SECOND PRELIMINARY AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.